

## PRIVACY POLICY

FACTS	WHAT DOES NORTHEAST FINANCIAL GROUP, INC. DO WITH YOUR FINANCIAL INFORMATION?																						
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.																						
What?	The types of personal information we collect and share depends on the product or service you have with us. This information can include: <ul style="list-style-type: none"> <li>▪ Social Security number and income</li> <li>▪ Account balances and assets ▪ Transaction history</li> </ul>																						
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Northeast Financial Group, Inc. chooses to share; and whether you can limit this sharing.																						
<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%; text-align: left;">Reasons we can share your personal information</th> <th style="width: 25%; text-align: center;">Does Northeast Financial Group, Inc. share?</th> <th style="width: 25%; text-align: center;">Can you limit this sharing?</th> </tr> </thead> </table>			Reasons we can share your personal information	Does Northeast Financial Group, Inc. share?	Can you limit this sharing?																		
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Questions?	Call 570-688-9898 or go to <a href="http://www.NEFGINC.com">www.NEFGINC.com</a>																						

Who we are	
Who is providing this notice?	Northeast Financial Group, Inc.
What we do	
How does Northeast Financial Group, Inc. protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building.
How does Northeast Financial Group, Inc. collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>▪ Open an account</li> <li>▪ Deposit money</li> <li>▪ Seek advice about your investments</li> <li>▪ Enter into an investment advisory contract</li> <li>▪ Tell us about your investment or retirement portfolio or earnings</li> </ul> <p>We also collect your personal information from other companies.</p>
Definitions	
Affiliates	<p>Companies related by common ownership and control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ Herbein Financial Group, LLC</li> <li>▪ H&amp;L Capital Group, LLC</li> <li>▪ H&amp;L Capital Group II, LLC</li> <li>▪ NEFG Capital Partners, LLC</li> </ul>
Nonaffiliates	<p>Companies not related by common ownership and control. They can be financial or nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>We do not share with nonaffiliates so that they can market to you.</i></li> </ul>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or service to you.</p> <ul style="list-style-type: none"> <li>▪ <i>We do not jointly market with non-affiliated companies.</i></li> </ul>

Rev. April 2024